

10-18-00

A

10/17/00  
Jc821 U.S. PTO

PATENT  
Docket No. 5000-4810

Express Mail Label No. EJ606934798US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY APPLICATION AND APPLICATION FEE TRANSMITTAL (1.53(b))

Jc825 U.S. PTO  
09/690420  
10/17/00

Applicant(s) : Kazuo ISHIKAWA, Hiroyuki TANIGUCHI, and  
Hideaki SHIMA

Serial No : TBA

Filed : October 17, 2000

For : DRIVING CONTROL APPARATUS FOR INDUSTRIAL VEHICLE

Box Patent Application - FEE  
COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of:

Named Inventor(s) and Address(es): Kazuo ISHIKAWA, Hiroyuki TANIGUCHI, and  
Hideaki SHIMA

of Kariya-shi, Aichi-ken, Japan, and

For: DRIVING CONTROL APPARATUS FOR INDUSTRIAL VEHICLE

Enclosed are:

☒ 101 page(s) of specification, 1 page(s) of Abstract, 16 page(s) of claims

☒ 30 sheets of drawing ☒ formal ☐ informal

☐        page(s) of Declaration and Power of Attorney

- ☐ Unsigned  
☐ Newly Executed  
☐ Copy from prior application

☐ Deletion of inventors including Signed Statement under 37 C.F.R. § 1.63(d)(2)

☐ Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the combined declaration and power of attorney is supplied herein, is considered as being part of the disclosure of the accompanying application and is incorporated herein by reference.

☐ Microfiche Computer Program (Appendix)

☐        page(s) of Sequence Listing

☐ computer readable disk containing Sequence Listing

- ☐ Statement under 37 C.F.R. § 1.821(f) that computer and paper copies of the Sequence Listing are the same
- ☒ Claim for Priority (Claim to Convention Priority)
- ☒ Certified copies of the Priority Document(s)
- ☐ English translation documents
- ☒ Information Disclosure Statement
- ☒ A copy of the cited reference, including Form PTO-1449
- ☐ Copy of PTO-1449 filed in parent application serial No. \_\_\_\_\_
- ☐ Preliminary Amendment
- ☒ Return receipt postcard (MPEP 503)
- ☐ Assignment Papers (assignment cover sheet and assignment documents)
- ☐ A check in the amount of \$ \_\_\_\_\_ for recording the Assignment.
- ☐ Assignment papers filed in parent application Serial No. \_\_\_\_\_
- ☐ Certification of chain of title pursuant to 37 C.F.R. § 3.73(b).
- ☐ This is a ☐ continuation ☐ divisional ☐ continuation-in-part (C-I-P) of prior application serial no. \_\_\_\_\_
- ☐ Cancel in this application original claims \_\_\_\_\_ of the parent application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
- ☐ A preliminary Amendment is enclosed. (Claims added by this Amendment have been properly numbered consecutively beginning with the number following the highest numbered original claim in the prior application.
- ☐ The status of the parent application is as follows:
- ☐ A Petition For Extension of Time and a Fee therefor has been or is being filed in the parent application to extend the term for action in the parent application until \_\_\_\_\_
- ☐ A copy of the Petition for Extension of Time in the co-pending parent application is attached.
- ☐ No Petition For Extension of Time and Fee therefor are necessary in the co-pending parent application.
- ☐ Please abandon the parent application at a time while the parent application is pending or at a time when the petition for extension of time in that application is granted and while this application is pending has been granted a filing date, so as to make this application co-pending.
- ☐ Transfer the drawing(s) from the patent application to this application.

- ☐ Amend the specification by inserting before the first line the sentence:  
This is a ☐ continuation ☐ divisional ☐ continuation-in-part of co-pending application Serial No. \_\_\_\_\_  
\_\_\_\_\_ filed \_\_\_\_\_

## I. CALCULATION OF APPLICATION FEE (For Other Than A Small Entity)

|                           | Number Filed                           |       | Number Extra     | Rate       | Basic Fee<br>\$ 710.00 |
|---------------------------|--|-------|------------------|------------|------------------------|
| Total Claims              | 50                                     | -20 = | 30               | x \$ 18.00 | \$ 540.00              |
| Independent Claims        | 5                                      | -3 =  | 2                | x \$ 80.00 | \$ 160.00              |
| Multiple Dependent Claims | <input type="checkbox"/> yes           |       | Additional Fee = |            |                        |
|                           | <input checked="" type="checkbox"/> no |       | Add'l Fee =      | \$ 270.00  | \$ 0.00                |
|                           |  |       |                  | NONE       |                        |

Total: \$ 1,410.00

- ☐ A statement claiming small entity status is attached or has been filed in the above-identified parent application and its benefit under 37 C.F.R. § 1.28(a) is hereby claimed. Reduced fees under 37 C.F.R. § 1.9(F) (50% of total) paid herewith \$ \_\_\_\_\_.
- ☒ A check in the amount of \$ 1,410.00 in payment of the application filing fees is attached.
- ☐ A check in the amount of \$ \_\_\_\_\_ in payment of the assignment recording fee is attached.
- ☐ Charge Fee(s) to Deposit Account No. 13-4500. Order No. \_\_\_\_\_. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
- ☒ The Assistant Commissioner is hereby authorized to charge any additional fees which may be required for filing this application, or credit any overpayment to Deposit Account No. 13-4500, Order No. 5000-4810. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,



Steven F. Meyer  
Registration No. 35,613

Dated: October 17, 2000

## CORRESPONDENCE ADDRESS:

MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York, New York 10154  
(212) 758-4800  
(212) 751-6849 Facsimile

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Kazuo ISHIKAWA, Hiroyuki TANIGUCHI, and  
Hideaki SHIMA

Serial No : TBA

Filed : October 17, 2000

For : DRIVING CONTROL APPARATUS FOR INDUSTRIAL VEHICLE

BOX: PATENT APPLICATION - FEE  
Commissioner for Patents  
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

Express Mail Label No. EJ606934798US  
Date of Deposit October 17, 2000

I hereby certify that the following attached paper(s) and/or fee  
New U.S. Patent Application for:

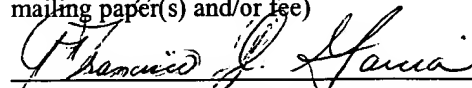
**DRIVING CONTROL APPARATUS FOR INDUSTRIAL VEHICLE**

- a. 101 pages of specification
- b. 1 page of Abstract
- c. 16 pages of claims
- d. 30 sheets of formal drawings (labeled)
- e. Application Fee Transmittal (in duplicate)
1. Check for \$ 1,410.00 for filing fee
2. Claim to Convention Priority
3. Certified Priority Document Application No(s):
  - 11-294783 filed October 18, 1999
  - 11-294784 filed October 18, 1999
  - 11-294796 filed October 18, 1999
  - 11-294797 filed October 18, 1999
  - 11-294795 filed October 18, 1999
  - 2000-239819 filed on August 8, 2000
4. Information Disclosure Statement
5. Form PTO-1449 with a copy of the cited reference
6. Return receipt postcard

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Commissioner for Patents, Box: Patent Application - FEE, Washington, D.C. 20231.

Francisco J. Garcia

Typed or printed name of person  
mailing paper(s) and/or fee)



(Signature of person mailing paper(s) and/or fee)

CORRESPONDENCE ADDRESS:  
MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York, New York 10154  
(212) 758-4800  
(212) 751-6849 Facsimile

5/ Prioritized  
J. Smith  
3/23/01  
PATENT  
Docket No. 5000-4810

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) : Kazuo ISHIKAWA, Hiroyuki TANIGUCHI, and  
Hideaki SHIMA

Serial No : TBA

Filed : October 17, 2000

For : DRIVING CONTROL APPARATUS FOR INDUSTRIAL VEHICLE



**CLAIM TO CONVENTION PRIORITY**

Box Patent Application - FEE  
COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Sir:

In the matter of the above-identified application and under the provisions of 35 U.S.C. §119 and 37 C.F.R. §1.55 applicant(s) claim(s) the benefit of the following prior application(s):

- 1.)

|                      |   |                        |
|----------------------|---|------------------------|
| Application filed in | : | JAPAN                  |
| In the name of       | : | Kazuo ISHIKAWA, et al. |
| Serial No.           | : | 11-294783              |
| Filing Date          | : | October 18, 1999       |
- 2.)

|                      |   |                        |
|----------------------|---|------------------------|
| Application filed in | : | JAPAN                  |
| In the name of       | : | Kazuo ISHIKAWA, et al. |
| Serial No.           | : | 11-294784              |
| Filing Date          | : | October 18, 1999       |
- 3.)

|                      |   |                        |
|----------------------|---|------------------------|
| Application filed in | : | JAPAN                  |
| In the name of       | : | Kazuo ISHIKAWA, et al. |
| Serial No.           | : | 11-294795              |
| Filing Date          | : | October 18, 1999       |
- 4.)

|                      |   |                        |
|----------------------|---|------------------------|
| Application filed in | : | JAPAN                  |
| In the name of       | : | Kazuo ISHIKAWA, et al. |
| Serial No.           | : | 11-294796              |
| Filing Date          | : | October 18, 1999       |
- 5.)

|                      |   |                        |
|----------------------|---|------------------------|
| Application filed in | : | JAPAN                  |
| In the name of       | : | Kazuo ISHIKAWA, et al. |
| Serial No.           | : | 11-294797              |
| Filing Date          | : | October 18, 1999       |

6.)

Application filed in : JAPAN  
In the name of : Kazuo ISHIKAWA, et al.  
Serial No. : 2000-239819  
Filing Date : August 8, 2000

[X] Pursuant to the Claim to Priority, applicants submit duly certified copies of  
Japanese Serial No(s):

11-294783  
11-294784  
11-294795  
11-294796  
11-294797  
2000-239819

Respectfully submitted,

Date: October 17, 2000

  
Steven F. Meyer  
Registration No. 35,613

CORRESPONDENCE ADDRESS:  
MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York, New York 10154  
(212) 758-4800  
(212) 751-6849 Facsimile